

SUPPLEMENTAL INFORMATION DISCLOSURE CITATION

Atty. Docket No.	6832.0013	Appln. No.	09/832,929
Applicant	Craig A. Rosen et al.		
Filing Date	April 21, 2001	Group:	1653

the specification U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,869,445	2/9/99	Cheever et al.	514	2	

FOREIGN PATENT DOCUMENTS						
Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No	
WO 90/14357	11/29/90	PCT	—	—		
WO 92/20798	11/26/92	PCT	—	—		
WO 93/16185	8/19/93	PCT	—	—		
WO 95/21862	8/17/95	PCT	—	—		
WO 96/20514	7/4/96	PCT	—	—		
WO 97/24438	7/10/97	PCT	—	—		
WO 98/17797	4/30/98	PCT	—	—		

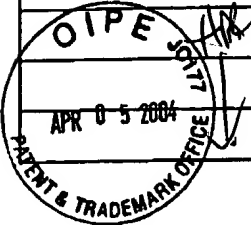
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
✓	ERBB2: v-erb-b2 erythroblastic leukemia viral oncogene homolog 2, neuro/glioblastoma derived oncogene homolog (avian); Locus ID: 2064 (from NCBI LocusLink) (June 6, 1986)
✓	USP Dictionary of USAN and International Drug Names; Trastuzumab. Immunoglobulin G1: CAS-180288-69-1 (2001)
✓	Nucleotide sequence of a miniribozyme; Accession number AAA58637 (from Geneseq Version 79.0) (Oct. 20, 2000)
✓	v-erb-b2 erythroblastic leukemia viral oncogene homolog 2; Accession number NP_004439 (from NCBI GenBank) (Dec. 23, 2003)
✓	Hypothetical protein XP_008603; Accession number XP_008603 (from NCBI GenBank) (Oct. 16, 2001)

Examiner	<i>Hope Robinson</i>	Date Considered	<i>5/13/04</i>
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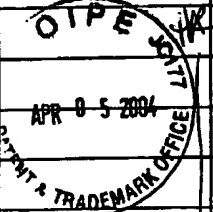
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
EST name: yj20g05.s1; Accession number R82666 (from NCBI GenBank) (June 14, 1995)	
EST name: yf18b08.r1; Accession number R08222 (from NCBI GenBank) (April 5, 1995)	
EST name: F1_1087D; Accession number R29573 (from NCBI GenBank) (April 25, 1995)	



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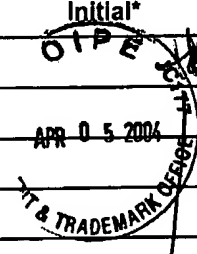
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	4,336,248	06/22/82	Bonhard et al.	424	101	
	4,751,180	06/14/88	Cousens et al.	435	68	
	4,765,980	08/23/88	DePrince et al.	424	108	
	4,801,575	01/31/89	Pardridge et al.	514	4	
	4,914,027	04/03/90	Knapp et al.	435	69.6	
	4,959,314	09/25/90	Mark et al.	435	69.1	
	4,970,300	11/13/90	Fulton et al.	530	383	
	5,045,312	09/03/91	Aston et al.	424	85.8	
	5,073,627	12/17/91	Curtis et al.	530	351	
	5,100,784	03/31/92	Latta et al.	435	69.7	
	5,116,944	05/26/92	Sivam et al.	530	362	
	5,116,964	5/26/92	Capon et al.	536	23.5	
	5,187,261	02/16/93	Latta et al.	530	363	
	5,223,408	6/29/93	Goeddel et al.	435	69.3	
	5,272,070	12/21/93	Lehrman et al.	435	172.1	
	5,302,697	04/12/94	Goodey et al.	530	325	
	5,330,971	07/19/94	Wells et al.	514	2	
	5,336,603	08/09/94	Capon et al.	435	69.7	
	5,380,712	01/10/95	Ballance et al.	514	12	
	5,612,196	03/18/97	Becquart et al.	435	69.6	
	5,641,663	6/24/97	Garvin et al.	435	172.1	

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	5,646,012	07/08/97	Fleer et al.	435	69.1	
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	5,679,777	10/21/97	Anderson et al.	530	385	
	5,705,363	01/06/98	Imakawa et al.	435	69.51	
	5,714,377	02/02/98	Tanner et al.	435	254.11	
	5,766,883	6/16/98	Ballance et al.	435	69.7	
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	5,948,428	09/07/99	Lee et al.	424	426	
	5,968,510	10/19/99	Linsley et al.	424	141.1	
	5,977,318	11/2/99	Linsley et al.	530	388.0	
	5,981,474	11/9/99	Manning et al.	514	2	
	6,114,146	09/05/00	Herlitschka et al.	435	69.7	
	6,149,911	11/21/00	Binz et al.	424	192.1	
	6,165,470	12/26/00	Becquart et al.	424	185.1	
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	A1					

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	2003-0104578 A1 (and pending claims)		Ballance			10/26/01
	10/702,536 (and pending claims)		Fleer et al.			11/7/03
	10/702,636 (and pending claims)		Fleer et al.			11/7/03
	2003-0054554 A1		Becquart et al.			3/20/03 (publication date)
	2003-0082747 A1		Fleer et al.			5/1/03 (publication date)
	2003-0022308 A1		Fleer et al.			1/30/03 (publication date)
	2003-0036170 A1		Fleer et al.			2/20/03 (publication date)
	2003-0036171 A1		Fleer et al.			2/20/03 (publication date)
	2003-0036172 A1		Fleer et al.			2/20/03 (publication date)
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	09/833,118		Rosen et al.			4/12/01

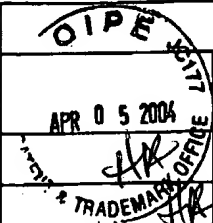
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	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
 A vertical line with arrows pointing down through the rows, indicating a sequence or flow.	0 073 646 A2	03/09/83	EPO	—	—	
	0 079 739 A2	05/25/83	EPO	—	—	
	0 088 632 A2	09/14/83	EPO	—	—	
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	0 116 201 A1	08/22/84	EPO	—	—	
	0 123 294 A1	10/31/84	EPO	—	—	
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	0 163 406 A1	12/04/85	EPO	—	—	
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	0 241 435 A2	10/14/87	EPO	—	—	
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0 314 317 A1	05/03/89	EPO	—	—		
0 319 641 A1	06/14/89	EPO	—	—		

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0 330 451 A2	08/30/89	EPO	—	—		
0 344 459 A2	12/06/89	EPO	—	—		
0 361 991 A2	04/04/90	EPO	—	—		
0 364 980 B1	04/14/93	EPO	—	—		
0 366 400 A2	05/02/90	EPO	—	—		
0 395 918 A2	11/07/90	EPO	—	—		
0 399 666 B1	11/28/90	EPO	—	—		
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0 416 673 A1	03/13/91	EPO	—	—		
0 503 583 A1	09/16/92	EPO	—	—		
0 509 841 A2	10/21/92	EPO	—	—		
0 510 678 A2	10/28/92	EPO	—	—		
0 510 693 A2	10/28/92	EPO	—	—		
0 511 912 A1	11/4/92	EPO	—	—	English Equivalent	
0 711 835 A1	05/15/96	EPO	—	—	English Equivalent	
0 771 871 A2	07/5/97	EPO	—	—		
1 117790	05/10/89	Japan	—	—	Abstract	
1235981A	11/24/99	China	—	—	Abstract	

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Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
1239103A	12/22/99	China	—	—	Abstract
2 117384	05/01/90	Japan	—	—	Abstract
2 193 631 A	02/17/88	UK	—	—	
2 227079	09/10/90	Japan	—	—	Yes
2 350 362 A	11/29/00	UK	—	—	
2 635 115	09/06/90	France	—	—	English Equivalent
2 719 593	11/10/95	France	—	—	English Equivalent
2022539	02/04/91	Canada	—	—	
3 201987	09/03/91	Japan	—	—	Yes
3 27320	02/5/91	Japan	—	—	Abstract
37 23 781 A1	01/21/88	Germany	—	—	English Equivalent
4 211375	08/03/92	Japan	—	—	Abstract
5 292972	11/09/93	Japan	—	—	Abstract
6 38771	02/15/94	Japan	—	—	Abstract
6-22784	02/01/94	Japan	—	—	Abstract
704594	05/31/95	Australia	—	—	
8 53500	02/27/96	Japan	—	—	Abstract
8 59509	03/05/96	Japan	—	—	Abstract
8-51982	02/27/96	Japan	—	—	Abstract
WO 00/04171	01/27/00	PCT	—	—	
WO 00/23459	04/27/00	PCT	—	—	

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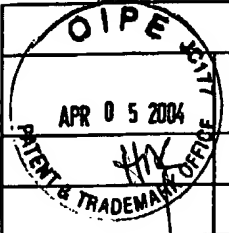
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WO 87/03887	07/02/87	PCT	—	—	
WO 89/02922	04/06/89	PCT	—	—	
WO 90/04788	05/03/90	PCT	—	—	
WO 90/13653	11/15/90	PCT	—	—	
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WO 93/15199	08/5/93	PCT	—	—	English Equivalent
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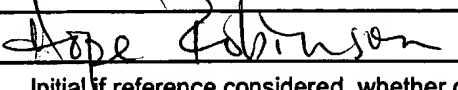
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	WO 97/39132	10/23/97	PCT	—	—	
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	WO 99/13914	03/25/99	PCT	—	—	
	WO 99/15193	04/1/99	PCT	—	—	Yes
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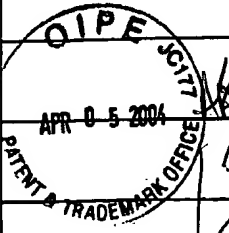
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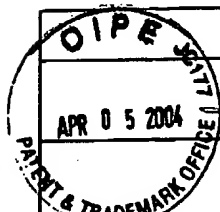
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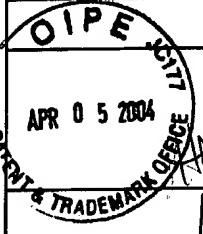
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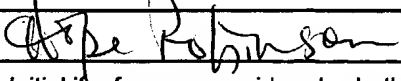
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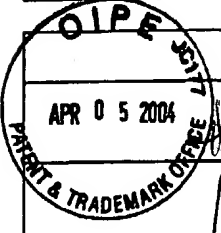
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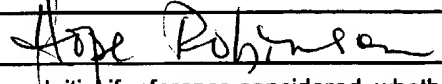
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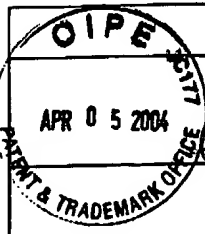
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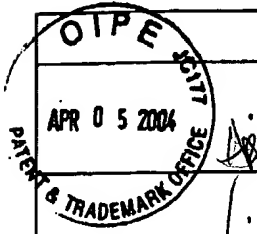
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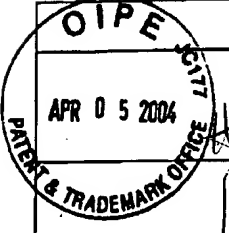
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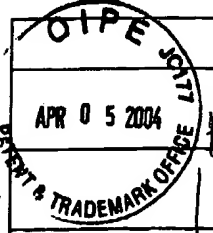
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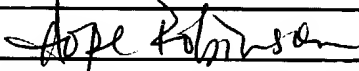
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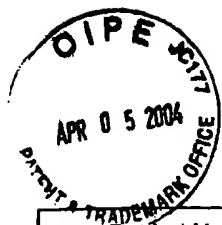
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Atty. Docket No.	06832.0013	Serial No.	09/832,929
Applicant	Craig A. Rosen et al.		
Filing Date	April 12, 2001	Group:	1653

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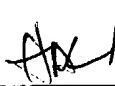

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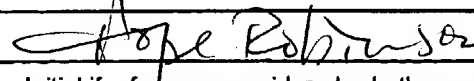


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